

1           **On the use of Synthetic Indexes based on Multi-Criteria Decision**  
2                           **Making to Study the Efficiency of Teachers**

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16   **Abstract**

17   Efficiency in education constitutes a dimension of the quality of education and gaining  
18   knowledge about the teachers' efficiency can contribute to improving the learning  
19   achievement of students. The main purpose of this paper is to evaluate the teachers'  
20   efficiency in order to determine which policies should be promoted in the future to reach  
21   a teaching environment enabling the teachers to be efficient. That is, we want to have a  
22   better insight about how to distribute the available resources to improve key factors of the  
23   teaching-learning context that are desirable to let them achieve efficiency. To this aim,  
24   we measure the teachers' efficiency from a wide multi-criteria decision making sense.  
25   We consider all the significant variables at the same importance level, assuming that  
26   efficient teachers are those achieving the best possible values for all of them  
27   simultaneously. Data from TIMSS and PIRLS 2011 for fourth-grade Spanish teachers in  
28   reading, mathematics and science are used. Firstly, three synthetic indexes (weak, strong  
29   and mixed) are built using a multi-criteria decision making approach that incorporates  
30   desirable ranges for the variable values. This allows us to know which teachers are  
31   performing better, within or worse than the desired limits. Afterward, a second stage  
32   analysis is performed to investigate the extent to which the controllable variables are

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33 determinant in the teachers' rankings associated with these indexes. With all of this, key  
34 variables for being in the best/worst ranking positions are identified, which lets us better  
35 know which measures should be taken to promote efficiency in teaching.

36 **Keywords:** efficiency; teachers; synthetic index; multi-criteria decision making.

37 **JEL classification:** A22 • C44 • C61.

38 **1. Introduction**

39 Education has a direct effect on the social capital, economic growth and well-being of a  
40 country. As underlined by e.g. Hanushek and Woessmann (2007), it plays an essential  
41 role in providing well-being to the population, placing the quality of education in a  
42 relevant position in the political debate. Indeed, the quality of education is so closely  
43 related to the development of a population's cognitive skills that authors such as Hanushek  
44 and Woessmann (2007) used them as its proxy.

45 Commonly, the quality of education has been analysed by means of comparative  
46 evaluations of the students' performances, such as the Programme for International  
47 Student Assessment (PISA). However, nowadays a higher importance is being given to  
48 the role of the efficiency and effectiveness of teachers in relation to their students'  
49 outcomes, as a way to improve the quality of the education systems (Dolton et al., 2018).  
50 Having effective and efficient teachers, and making an efficient use of the educational  
51 resources available, may be key issues to enhance the students' academic performance  
52 and their learning achievement, and to raise their implication in their own learning  
53 process. However, to promote the teachers' efficiency, firstly we need to identify the main  
54 features of the teachers that are considered as efficient, in order to gain knowledge about  
55 the policies that should be developed to let the other teachers achieve efficiency. This is  
56 the main focus of this paper, which –based on real data analyses– intends to provide a  
57 new methodological approach that allows to identify the most efficient teachers.

58 In pursuit of the efficiency of the education system through the efficiency of the  
59 teachers, there is a generalized belief that spending more time on teaching improves  
60 students' academic results (Locher and Pfof, 2020), the relationship between instruction  
61 time and academic achievement is not as obvious as it might seem, primarily because of  
62 the quality of time (Mullis and Martin, 2017). Lopez-Agudo and Marcenaro (2022)  
63 indicate that time for face-to-face teaching does not seem to be positively associated with  
64 students' academic performance for any of the countries under their analysis. Other  
65 authors (Egalite and Kisida, 2018; Lim and Meer, 2021; Calero and Escardíbul, 2019)  
66 also support that, when the instruction time is increased, other teacher's characteristics,  
67 such as gender, years of experience and level of education, has not a clear impact on the  
68 academic performance of the students.

69           In the education research field, an efficient teacher has been understood as the  
70 one achieving the maximum outputs (e.g. academic scores of the teachers' students) from  
71 a given amount of inputs of the teaching-learning process (e.g. resources devoted to the  
72 educational system, students' background variables, socio-economic characteristics of the  
73 teaching/learning context, etc.). In the other way around, teachers being capable to reach  
74 the same level of outputs with the minimum quantity of inputs are also considered as  
75 efficient teachers (Lockheed and Hanushek, 1994). This definition of teachers' efficiency  
76 corresponds to a conventional perspective, i.e. the output-oriented technical efficiency (a  
77 measure of how well decision making units maximize outputs when inputs and outputs  
78 mixes are predetermined), or the profit efficiency (a measure of how well decision making  
79 units maximize profits when inputs and outputs can be chosen freely). Several studies  
80 adopt this definition, such as Jürges and Schneider (2007), which proposes a regression-  
81 based method to construct "fair" rankings of teachers and schools (meaning by "fair" that  
82 important determinants of student achievement beyond the control of the individual  
83 teacher and that the imprecision inherent in measures of student achievement are  
84 accounted for).

85           However, teachers' performance evaluation is nothing but a multi-criteria  
86 decision making problem. As said, several quality attributes influence the efficiency of a  
87 potential teacher while guiding his /her students towards good academic outcomes. In this  
88 line, we adopt a wider multi-criteria decision making perspective to define teachers'  
89 efficiency in this paper. We propose quantifying their efficiency considering both inputs  
90 and outputs as goals or criteria to be improved as much as possible, and thus, we assume  
91 that efficient teachers are those achieving, at the same time, the best possible values for  
92 all the inputs and outputs. That is, efficient teachers would be these ones producing the  
93 maximum levels of outputs using the minimum inputs, which are in clear conflict.  
94 Therefore, the multi-criteria dimension of the teachers' efficiency is evident. Under this  
95 perspective, we would like to know the extent to which the best possible levels for all the  
96 inputs and outputs are attainable simultaneously or, by contrast, which type of trade-offs  
97 are needed among them.

98           In a broad sense, we seek to establish the characteristics of the teaching-learning  
99 context of the most efficient teachers. Trying to attain the best possible values for both  
100 inputs and outputs at the same time can lead us to interesting findings regarding the profile

101 of the teachers with a balanced (optimal) situation for all of them, i.e. regarding the  
102 scenarios that would allow the teachers' efficiency. This justifies the consideration of the  
103 inputs not just as explanatory variables, but also as criteria to be improved as much as  
104 possible. Furthermore, although the outputs are factors that may not be directly  
105 controllable by the teacher, we are interested in evaluating them jointly to the inputs so  
106 that we can have a better knowledge about the profiles of the most/least efficient teachers  
107 from both points of views, that is, from the perspectives of the inputs and of the outputs.  
108 This will provide us some hints in relation to future measures that should be promoted by  
109 politicians or stakeholders in relation to these uncontrollable factors if they want to  
110 converge to a teaching system that enables the efficiency among the teachers (under the  
111 multi-criteria perspective considered here). That is, we want to have a better insight about  
112 how to manage the available resources to improve the key factors of the teaching-learning  
113 context that would let teachers achieve efficiency.

114 In our study, the output variables used as a proxy of the efficiency of teachers are  
115 the students' performance in subjects such as reading, mathematics and science, and an  
116 engagement index for these subjects indicating the capacity of teachers to increase his/her  
117 students' interest and implication in their studies. In addition, the inputs used to evaluate  
118 the efficiency are variables regarding the time devoted in class to teach each of the  
119 previous subjects. The PIRLS (Progress in International Reading Literacy Study) and  
120 TIMSS (Trends in Mathematics and Science Study) datasets have been combined in their  
121 2011 wave for fourth-grade (9/10 years old) Spanish students.

122 To assess the teachers' efficiency using these inputs and outputs, an option is to  
123 build synthetic indicators or indexes. Such an index would combine into one composite  
124 number the different dimensions or aspects considered about the situation being studied,  
125 enabling to benchmark the performance of the teachers. Indeed, to rank the teachers under  
126 the aforementioned perspective of efficiency, we can calculate synthetic indexes based  
127 on multi-criteria decision making approaches (Nardo et al., 2005). To be more precise, in  
128 this study, we consider three synthetic indicators (weak, strong and mixed) based on the  
129 double reference point (DRP) multi-criteria decision making approach (Wierzbicki,  
130 2000), which were originally proposed in Ruiz et al. (2011). Mainly, these indicators have  
131 two benefits. On the one hand, since they are based on the DRP approach, we can consider  
132 reference values that are desirable and acceptable for each of the inputs and outputs –

133 called aspiration and reservation levels, respectively– to build the synthetic indexes. With  
134 this, we introduce into the indexes’ building process the use of a threshold with desirable  
135 values to be achieved by the inputs and outputs, enabling the efficiency of each teacher  
136 to be evaluated depending on her/his performance regarding these values (i.e. if (s)he  
137 performs better, within or worse than the desired limits).

138 On the other hand, the DRP-based synthetic indexes allow different aggregation  
139 schemes so that different grades of compensation among the inputs and outputs can be  
140 applied. This makes it possible to benchmark the teachers and to rank them according to  
141 their efficiency level under different compensation schemes, with the purpose of  
142 analysing the main features and the learning context of the most efficient ones from  
143 different points of views. In addition, as all inputs and outputs values are internally  
144 normalized using the aspiration and reservation levels, the three indexes are calculated in  
145 the same scale and, thus, the DRP-based synthetic indexes can be easily interpreted.  
146 Indeed, they provide information of the teachers’ efficiency from a global point of view,  
147 and not only individually for each variable.

148 Once the most efficient teachers have been identified, it would be interesting to  
149 have a deeper knowledge about the influence of the variables which are controllable by  
150 teachers (i.e. the inputs considered) in the efficiency ranking obtained with the synthetic  
151 indexes. We seek to establish the contribution of each controllable input to achieve the  
152 efficiency according to these indexes. Let us recall that efficiency is understood here as  
153 the simultaneous achievement of the best possible values for both the inputs and outputs.  
154 To this aim, we perform a second stage analysis in which we study the existing correlation  
155 among the inputs used and the three synthetic indexes’ values calculated. The coefficients  
156 obtained from estimating the regression model will reflect the dependencies observed,  
157 detecting the level of dependency of each synthetic index with regards to each input and,  
158 thus, providing us with more information about how to improve the teachers’ efficiency  
159 rankings previously obtained.

160 The rest of the paper is structured as follows. The data used in our analysis to  
161 assess the teachers’ efficiency is described in Section 2, providing descriptive statistics  
162 of the sample under scrutiny. Section 3 contains a literature review about efficiency in  
163 education. In Section 4, we present the methodological process followed to build the  
164 synthetic indexes and some further comments. The efficiency ranking of the teachers is

165 analysed in Section 5, where we also include a robustness study and the second stage  
166 analysis. Finally, the main conclusions and future research lines are drawn in Section 6.

## 167 **2. Data**

168 We have considered information from TIMSS and PIRLS 2011, as this was the only year  
169 both studies coincided. The purpose of TIMSS is to measure the learning achievement of  
170 students in the areas of mathematics and science at the end of the fourth grade (9–10 years  
171 old), while PIRLS is focused on the reading achievement of fourth-grade students. We  
172 chose this grade as the students are more malleable at this age (Thompson-Schill et al.,  
173 2009) and, thus, they would better reflect their teachers' procedures. In addition, Spanish  
174 primary school students have the same teacher in each cycle; hence, a teacher in third and  
175 fourth grade teaches the same students, so their engagement could be attributed to the  
176 teachers under scrutiny. Last, but not least, both datasets contain a large number of  
177 teacher-level variables gathered in their teacher questionnaire –directly linkable to the  
178 students they taught– and a measurement of the students' engagement not included in  
179 other international assessments, such as e.g. PISA.

180 In our analysis, both TIMSS and PIRLS information were gathered by using a  
181 two-stage random sample design (Martin and Mullis, 2012). Firstly, schools were selected  
182 and, secondly, complete classes were sampled within the selected schools. Hence, each  
183 classroom (i.e. each student) is associated with the teacher who teaches the corresponding  
184 subject. The TIMSS and PIRLS studies consist of four models of context questionnaires:  
185 student, home (only in PIRLS), teacher and school. Other international assessment  
186 studies such as PISA did not contain information about teachers' characteristics and  
187 practices until the 2015 cycle, and this information is not linkable at a student level, only  
188 at a school level (OECD, 2017). Therefore, TIMSS/PIRLS 2011 are the most adequate  
189 and recent available data to study teachers' efficiency in Spain. The Spanish sample  
190 contains 4,043 students who participated in both tests and were taught by 174 teachers.

191 Both PIRLS 2011 (for reading) and TIMSS 2011 (for mathematics and science)  
192 include an index called “Students Engaged in Reading/Mathematics/Science Lessons  
193 index” to represent students' engagement. According to Mullis et al. (2012), this index  
194 was created by asking students the degree to which they agree a lot, agree a little, disagree  
195 a little or disagree a lot, with the following statements: “I know what my teacher expects

196 me to do”, “I think of things not related to the lesson”, “My teacher is easy to understand”,  
 197 “I am interested in what my teacher says”, and “My teacher gives me interesting things  
 198 to do”<sup>1</sup>. Martin and Mullis (2012) provided a measure of the validity of this index using  
 199 the Cronbach alpha reliability coefficient and concluded that it reached an acceptable  
 200 level for Spain (0.68 for reading, 0.6 for mathematics and 0.64 for science). Based on  
 201 these findings, the engagement index has been considered as a continuous variable in our  
 202 study as a proxy of teachers’ efficiency.

203 Next, we describe the procedure employed to select the variables of the teaching-  
 204 learning process for our analysis. We have classified each available teacher-related  
 205 variable according to its adscription to teacher efficiency characteristics. To determine  
 206 whether a teacher variable represents efficiency, we have asked ourselves the following  
 207 question: “Does this variable represent the available resources for teachers in order to  
 208 develop their lessons, such as materials, classroom characteristics, available time or  
 209 students’ background, which are not easily alterable by the teacher?” The selected  
 210 variables that answered this question and had a significant effect on explaining teacher  
 211 efficiency were included in this study. Full descriptive statistics of the variables  
 212 considered for the sample under scrutiny are provided in Table 1, reporting the mean,  
 213 standard deviation and maximum and minimum values attained.

214 **Table 1** Selected variables and their descriptive statistics from TIMSS/PIRLS 2011 in  
 215 fourth grade, Spain.

<b>Variables</b>	<b>Type</b>	<b>Mean</b>	<b>Standard Deviation</b>	<b>Maximum value</b>	<b>Minimum value</b>
<b><i>Outputs</i></b>					
Mean scores in:					
Reading	Cont.	519.18	33.54	592.29	392.96
Mathematics	Cont.	489.22	36.52	581.62	347.87
Science	Cont.	512.81	36.00	604.63	376.26
Engagement in:					
Reading	Cont.	9.94	0,87	12.16	6.48
Mathematics	Cont.	10.11	0.85	12.64	5.81
Science	Cont.	10.08	0.87	12.05	6.10
<b><i>Inputs</i></b>					
Teaching minutes in:					
Reading	Cont.	337.24	104.22	780.00	120.00

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<sup>1</sup> PIRLS 2011 includes two additional questions in the engagement index: “I like what I read about in school” and “My teacher gives me interesting things to read”.



Mathematics	Cont.	278.02	42.79	420.00	180.00
Science	Cont.	237.96	51.53	540.00	60.00

216 Source: Author's own elaboration.

### 217 3. State of the Art

218 In the literature, we can find many studies on the efficiency of education, which mainly  
 219 differ from this research work in the methodology used to quantify the efficiency. De  
 220 Witte and Lopez-Torres (2017) provides a wide literature review of different  
 221 methodological approaches applied to this research field.

222 Some studies use parametric methods to assess the efficiency, such as stochastic  
 223 frontier analysis (e.g. see Jürges and Schneider (2007), De Witte et al. (2013),  
 224 Scippacercola and D'Ambra (2014), and Lopez-Agudo and Marcenaro-Gutierrez (2017)).  
 225 In many other works, non-parametric techniques are applied, such as data envelopment  
 226 analysis (DEA) (Essid et al., 2010; Haelermans and Ruggiero, 2013; Blackburn et al.,  
 227 2014; Wanke et al., 2016). Regarding the use of the students' performance (in terms of  
 228 average test scores in different subjects) to carry out educational efficiency analyses, as  
 229 we do, some recent research works can be found in Crespo et al. (2014), Johnson and  
 230 Ruggiero (2014), Podinovski et al. (2014), and Agasisti and Johnes (2015).

231 Note that the methodological differences existing in the literature do not  
 232 necessarily influence the quality of the studies, but do affect the type of results obtained,  
 233 their interpretation and the conclusions raised. However, there is a lack of works  
 234 performing a study of the efficiency of teachers trying to optimize both inputs and outputs  
 235 simultaneously, in order to know the characteristics of the most efficient teachers reaching  
 236 a balanced (optimal) situation for all of them. To fill this gap, we apply a new technique  
 237 (the DRP-based synthetic indicators) to gain new insights about the problem under study  
 238 from a multi-criteria decision making perspective. Previously, the DRP-based synthetic  
 239 indicators have been used in other research fields. It has been used to evaluate the weak  
 240 and the strong sustainability of territories (Ruiz et al., 2011; Cabello et al., 2021; Ruiz  
 241 and Cabello, 2021), to measure the human development (Luque et al., 2016), and to  
 242 measure child and maternal health in developing countries (Perez-Moreno et al., 2016).  
 243 In addition, the countries' competitiveness was assessed with this methodology in Luque  
 244 et al. (2017), and Ruiz et al. (2018) used them to build a ranking taking into account  
 245 aspects related to the ease of doing business in terms of countries' regulation.

246 To the best of our knowledge, these synthetic indexes have been just applied to  
247 assess teachers' efficiency in Luque et al. (2020). In that work, their efficiency was  
248 analysed considering different key variables describing the learning-teaching context  
249 from those considered here. Furthermore, no second stage post-analysis of the influence  
250 of the controllable variables was carried out there to identify potential correlations once  
251 the synthetic indexes were calculated. This post-analysis is now performed to have a  
252 deeper knowledge about the impact of these variables in the efficiency ranking of the  
253 teachers, i.e. to know the extent to which they could be used to improve the efficiency of  
254 a teacher. Therefore, the present work is intended to continue and complete the previous  
255 research in Luque et al. (2020). Besides, in the present paper, we also analyse several  
256 properties of the indexes used (see Section 4.3), which constitutes an additional  
257 contribution.

258 Finally, the consideration of the teaching time dedicated to the different subjects  
259 (i.e. teaching minutes in reading, mathematics and science) as inputs in our study deserves  
260 a discussion. Although the effect of instructional time is widely recognized as relevant  
261 to academic performance (e.g., Locher and Pfof, 2020), the effect on students'  
262 performance is complex and the relationship between instruction time and academic  
263 achievement is not as obvious as it might seem, primarily because of the quality of time  
264 (Mullis and Martin, 2017). The literature has not a clear consensus in this topic. On the  
265 one hand, some authors support that there is not a direct influence of the instruction time  
266 on the students' academic achievement (e.g. Woessmann, 2010; Marcenaro et al., 2016;  
267 Lopez-Agudo and Marcenaro-Gutierrez, 2018; Luque et al., 2020). Recently, Lopez-  
268 Agudo and Marcenaro-Gutierrez (2022) concluded that, although the quantity of  
269 instruction time varies by country, spending more time in teaching does not seem to be  
270 related to students' academic achievement, even when this increase in instruction time is  
271 accompanied by a higher engagement of students during lessons.

272

273 However, on the other hand, there exist works supporting a positive relationship  
274 between instruction time and students' academic achievement (e.g. Lavy, 2015; Rivkin  
275 and Schiman, 2015; Cattaneo et al., 2017; Meroni and Abbiati, 2016; Andersen et al.,  
276 2016). In fact, some of these studies identified differential influences of instruction time  
277 on the academic performance, such as e.g. Huebener et al. (2017), who found that, in

278 Germany, increasing instruction time by two hours had a more positive effect for high-  
279 achiever students than for lower-achievers. Besides, Sims (2008) determined that, at 4<sup>th</sup>  
280 grade, more instruction time was positive in mathematics in low-achieving districts of the  
281 United States. Moreover, other authors (e.g. OECD, 2011; Baker et al., 2004, Gromada  
282 and Shewbridge, 2016) also corroborated this positive relationship, but finally concluded  
283 that the quality of the instruction time is crucial and may condition the level of  
284 dependence between the time spent in the classroom and the academic achievement.

285 In our study, we have considered the teaching times devoted to reading,  
286 mathematics and science as inputs to reach the lowest values in order to see the impact of  
287 these variables on the efficiency of a teacher. Obviously, the purpose is not to find a  
288 teacher dedicating no instruction time to teach these subjects, which would represent an  
289 unrealistic –and unwanted– situation. Our main aim in doing so is to know to what extent  
290 the teaching times devoted to the three subjects contribute to and influence the efficiency  
291 of teachers (remember that our concept of efficiency is a wide one based on the  
292 minimization of the inputs and maximization of the outputs). Besides, we have decided  
293 to assume that the lower, the better for these teaching times (i.e., to minimize these  
294 variables), instead of the other way around, following the literature in the field supporting  
295 the idea that instruction time does not seem to influence students' academic achievement.  
296 Therefore, our study is performed without assuming that an inherent relationship exists  
297 between these two characteristics.

298 Finally, we are aware that teachers themselves do not completely control the  
299 instruction times of their subjects. In this regard, our study should be understood as  
300 follows: the results obtained can shed some light towards the profile of a potential  
301 efficient teacher (its teaching-learning context, regarding the inputs and outputs we have  
302 considered). In this way, the educational authorities can have a better knowledge to define  
303 policies directed at promoting such teaching-learning contexts that allow teachers to be  
304 efficient, as a way to improve the academic performance of the students. In particular,  
305 regarding the teaching times, our study can help to know if teaching for more or less time  
306 in reading, mathematics and science really conditions these efficiency in some way, based  
307 on the profile of the most and the least efficient teachers.

## 308 **4. Methodology**

309 The main purpose when studying a multi-criteria decision making problem is the analysis  
310 of the possible alternatives available considering the different conflicting criteria at the  
311 same time, in order to select the best one by classifying or ranking them according to  
312 some approach. In our case, the set of available alternatives is the sample of teachers  
313 under study, while the conflicting criteria are precisely the significant variables (inputs  
314 and outputs) shown in Table 1. Thus, we want to evaluate each teacher according to these  
315 criteria and to order them according to their efficiency level. Nonetheless, considering  
316 information about how far is each teacher from satisfying potential desirable values for  
317 the variables would greatly enrich the efficiency score that measures the goodness of each  
318 teacher. This would allow us to introduce external preferences into the ranking process,  
319 enabling the teachers to be analysed according to the desired expectations for the observed  
320 data.

321 To achieve this and to generate an efficiency ranking of the teachers that  
322 incorporates desirable variable values into the process, we build a set of synthetic indexes  
323 based on the DRP approach, which is described hereafter. In these synthetic indexes, the  
324 variable values achieved by the teachers are combined with some preferential information  
325 given in relation to the variables. To be more precise, the preferences are expressed by  
326 means of two reference levels for each variable: a reservation level (a value considered  
327 as acceptable), and an aspiration level (a value regarded as desirable). Furthermore, these  
328 synthetic indexes allow different compensation degrees among the values achieved in the  
329 variables. This means that bad performances in some variables can be compensated by  
330 good performances in others according to different scenarios, if desired, giving us the  
331 opportunity to obtain the efficiency ranking of teachers considering the desired balance  
332 among the variables. Additionally, a non-compensatory scheme can be also analysed  
333 using these synthetic indexes, driving the attention towards the worst variable value  
334 achieved by each teacher. This type of information can be useful if we want to develop  
335 improvement policies designed to enhance the achievement of the teaching-learning  
336 variable with the worst performance observed among the teachers in our sample.

### 337 ***4.1. Synthetic Indexes based on the DRP approach***

338 The methodology used to build the synthetic indexes is described in detail in Ruiz et al.  
339 (2011). As already mentioned, these indexes are based on the double reference point  
340 (DRP) preferential scheme introduced in Wierzbicki (2000) for multi-criteria decision  
341 analysis. If multiple conflicting criteria are used (denoted by  $f_i, i = 1, \dots, m$  ( $m \geq 2$ )) to  
342 evaluate each possible known alternative, under the DRP scheme, the DM is asked to  
343 give, for each  $i = 1, \dots, m$ , a *reservation level*  $q_i^r$  (a level below which a value for the  
344 criterion  $f_i$  is considered unacceptable), and an *aspiration level*  $q_i^a$  (a desirable value to  
345 be achieved by the criterion  $f_i$ ). Then, a linear piecewise achievement scalarizing function  
346 is formulated to normalize the criteria values of each alternative considering the desirable  
347 ranges defined by the aspiration and reservation levels. Wierzbicki (2000) already  
348 suggested the use of this function as a way to rank different alternatives according to the  
349 achievement of the criteria values with respect to the aspiration and reservation levels,  
350 which is the basis of the synthetic indexes proposed in Ruiz et al. (2011).

351 Next, we describe the procedure followed to calculate these synthetic indexes for  
352 the study of the teachers' efficiency. As indicated in Section 2, 174 teachers constitute  
353 our sample (whose set is denoted by  $S = \{t_1, \dots, t_{174}\}$ ), and we use the 9 input and output  
354 variables described in Table 1, which are related to their students' performance and  
355 engagement level in reading, mathematics and science, and the available time for each  
356 subject. Let us denote by  $N_T$  the number of teachers  $t$  in  $S$  and by  $N_V$  the number of  
357 variables used to evaluate them, i.e.  $N_T = 174$  and  $N_V = 9$ . For each variable considered,  
358 it is necessary to determine whether it is of the "more is better" type or if it belongs to the  
359 "less is better" type. In our case, the "more is better" variables are the mean scores and  
360 the engagement indexes for each subject, while the "less is better" variables are the  
361 teaching minutes dedicated to each subject. For simplicity, from now on, we refer to all  
362 the variables as  $v_j$  ( $j = 1, \dots, N_V$ ), considering that  $v_1, \dots, v_6$  correspond to the outputs  
363 and  $v_7, v_8, v_9$  denote the inputs.

364 Now, for each  $i = 1, \dots, N_T$  and  $j = 1, \dots, N_V$ , let  $x_{ij}$  be the sample value of the  
365 teacher  $i$  for the variable  $v_j$ . Let us also denote by  $q_j^M$  and  $q_j^m$ , respectively, the maximum  
366 and minimum values taken by the variable  $v_j$  in the sample, that is:

$$367 \quad q_j^m = x_{ij}, \quad \forall j = 1, \dots, N_V, \quad (3)$$

$$368 \quad q_j^M = x_{ij}, \quad \forall j = 1, \dots, N_V. \quad (4)$$

369 To build the synthetic indexes based on the DRP approach, we follow the next steps:

370 1. *Preferential information*: let  $q_j^a$  and  $q_j^r$  be an aspiration and a reservation level,  
 371 respectively, for each variable  $v_j$  ( $j = 1, \dots, N_V$ ). In Section 4.2, we detail how these  
 372 preferential levels have been set, since they play an important role for the indexes'  
 373 building process and for the understanding of the results.

374 2. *Normalization*: for each  $i = 1, \dots, N_T, j = 1, \dots, N_V$ , the value  $x_{ij}$  achieved by  
 375 the teacher  $i$  for the variable  $v_j$  needs to be normalized to have all variable values in the  
 376 same scale. Let us refer as  $y_{ij}$  to the normalized value of  $x_{ij}$ . To construct the DRP  
 377 synthetic indexes,  $x_{ij}$  is normalized using the reservation and aspiration levels considered  
 378 for  $v_j$  as explained below. This normalization enables us to easily know the “position” of  
 379  $x_{ij}$  regarding the corresponding reservation-aspiration range.

380 To normalize the variable values, we need to distinguish between the variables of  
 381 the “more is better” type and those of the “less is better” type, since a different procedure  
 382 is applied in each case. On the one hand, for the “more is better” type variables, we have  
 383  $q_j^m \leq q_j^r \leq q_j^a \leq q_j^M$  and the normalized variable value  $y_{ij}$  of  $x_{ij}$  is calculated as follows:

- 384 • If  $q_j^m \leq x_{ij} \leq q_j^r$ , then  $y_{ij} = \frac{x_{ij}-q_j^r}{q_j^r-q_j^m}$ .
- 385 • If  $q_j^r \leq x_{ij} \leq q_j^a$ , then  $y_{ij} = \frac{x_{ij}-q_j^r}{q_j^a-q_j^r}$ . (5)
- 386 • If  $q_j^a \leq x_{ij} \leq q_j^M$ , then  $y_{ij} = 1 + \frac{x_{ij}-q_j^a}{q_j^M-q_j^a}$ .

387 On the other hand, for the “less is better” type variables, we have  $q_j^m \leq q_j^a \leq q_j^r \leq q_j^M$   
 388 and the normalized variable value  $y_{ij}$  is obtained by:

- 389 • If  $q_j^m \leq x_{ij} \leq q_j^a$ , then  $y_{ij} = 1 + \frac{x_{ij}-q_j^a}{q_j^m-q_j^a}$ .
- 390 • If  $q_j^a \leq x_{ij} \leq q_j^r$ , then  $y_{ij} = \frac{x_{ij}-q_j^r}{q_j^a-q_j^r}$ . (6)
- 391 • If  $q_j^r \leq x_{ij} \leq q_j^M$ , then  $y_{ij} = \frac{x_{ij}-q_j^r}{q_j^r-q_j^M}$ .

392 This piecewise normalization can be interpreted in the following way for any type  
 393 of variable (“more is better” or “less is better”): if the normalized value  $y_{ij}$  takes a  
 394 negative value (between  $-1$  and  $0$ ), then the original value  $x_{ij}$  of the variable  $v_j$  achieved  
 395 by the teacher  $i$  is worse than the reservation level  $q_j^r$ ; a value of  $y_{ij}$  between  $0$  and  $1$   
 396 means that  $x_{ij}$  performs better than the reservation level  $q_j^r$ , but worse than its aspiration  
 397 level  $q_j^a$ ; and if  $y_{ij}$  is between  $1$  and  $2$ , then  $x_{ij}$  reaches a better value than the aspiration  
 398 level  $q_j^a$ . Note that, as a consequence, for all the normalized variable values  $y_{ij}$ , “more is  
 399 better”, since reaching a (original) variable value better than the aspiration level would be  
 400 much more preferred to achieving a value under the reservation level.

401 3. *Calculation of the synthetic indexes*: once the variable values are normalized,  
 402 we build the next three indexes for each teacher  $i$  ( $i = 1, \dots, N_T$ ):

403 • A *weak index*, denoted by  $WI_i$ , which is the arithmetic mean of the  
 404 normalized variable values:

$$405 \quad WI_i = \frac{1}{N_V} \sum_{j=1}^{N_V} y_{ij}. \quad (7)$$

406 Note that  $WI_i$  also takes values between  $-1$  and  $2$ , and therefore, it can be understood as  
 407 the “position” of the teacher with respect to hypothetical global reservation and aspiration  
 408 levels.

409 • A *strong index*, denoted by  $SI_i$ , measuring the worst performance of the  
 410 teacher  $i$ , since it is defined as the minimum of all the normalized variable values:

$$411 \quad SI_i = y_{ij}. \quad (8)$$

412 A negative value of  $SI_i$  means that, at least, there is one variable value of this teacher  $i$   
 413 that performs worse than its corresponding reservation level (i.e. at least, there exists one  
 414 normalized variable value below  $0$ ). Besides, a value of  $SI_i$  over  $1$  means that all variables  
 415 of teacher  $i$  have reached better values than their respective aspiration levels (i.e. all  
 416 normalized variable values are above  $1$ ).

417 It is important to remark that, while the weak index allows full compensation  
 418 among all the variables (substitutability, i.e. a poor performance in one variable can be  
 419 compensated by a good performance in another one), the strong index does not enable

420 any compensation among them since it represents the worst value achieved by the  
 421 normalized variable values. Observe that  $SI_i \leq WI_i, \forall i = 1, \dots, N_T$ .

422 • Given that the weak and strong indexes represent extreme situations,  
 423 enabling either full compensation or no compensation, a combination of them both can  
 424 be used to enable a partial compensation among the values achieved by the variables.  
 425 Thus, a *mixed index*, denote by  $MI_i$ , is calculated by linearly combining both of them:

$$426 \quad MI_i = \mu WI_i + (1 - \mu) SI_i, \quad (9)$$

427 with  $0 \leq \mu \leq 1$  being a real value. Note that  $MI_i = SI_i$  if  $\mu = 0$  (no compensation), while  
 428  $MI_i = WI_i$  in case  $\mu = 1$  (full compensation). Any value of  $\mu$  between 0 and 1 represents  
 429 an intermediate state between these two extremes, considering that the larger the value of  
 430  $\mu$ , the more compensation is allowed. Note that  $SI_i \leq MI_i \leq WI_i, \forall i = 1, \dots, N_T$ .

431 As described, the synthetic indexes have been calculated without using any  
 432 weights in the process. Traditionally, weights have been used in the reference point  
 433 schemes with a normalization role, but it is also possible to use weights with a preferential  
 434 meaning (Luque et al., 2009). Based on this,  $WI_i$ ,  $SI_i$  and  $MI_i$  can incorporate a weight  
 435 factor for each variable, if desired. In case different weights want to be assigned to the  
 436 variables, the building process would be adapted as follows. For each  $j = 1, \dots, N_V$ , let us  
 437 denote by  $\omega_j$  the weight value given to the variable  $v_j$  ( $\omega_j > 0$ ), and let us consider their  
 438 normalized values as  $\bar{\omega}_j = \frac{\omega_j}{\sum_{k=1}^{N_V} \omega_k}$  (observe that the normalized weights add up to 1). The  
 439 *weighted weak index*  $W - WI_i$  of teacher  $i$  ( $i = 1, \dots, N_T$ ) would be calculated as the  
 440 weighted sum:

$$441 \quad W - WI_i = \sum_{j=1}^{N_V} \bar{\omega}_j y_{ij}. \quad (10)$$

442 For building the strong index and avoiding unwanted effects due to the use of weights  
 443 and to the fact that  $y_{ij}$  can take positive and negative values, additional mathematical  
 444 transformations are required. Let us consider the following modified weights:

$$445 \quad \hat{\omega}_j = \frac{\bar{\omega}_j}{\max_{k=1, \dots, N_V} \bar{\omega}_k}, \quad \forall j = 1, \dots, N_V. \quad (11)$$

446 For each teacher  $i$  and each variable  $v_j$ , we transform the values  $y_{ij}$  in the following way:



447 
$$\bar{y}_{ij} = y_{ij} - m_i, \quad \text{where } m_i = [y_{ij}] + 1. \quad (12)$$

448 The  $[\cdot]$  operator gives the integer part of any real number. Now, the *weighted strong*  
 449 *index*  $W - SI_i$  of teacher  $i$  would be redefined as:

450 
$$W - SI_i = m_i + \hat{\omega}_j \bar{y}_{ij}. \quad (13)$$

451 Obviously, the mixed index is updated accordingly using the weighted weak and  
 452 strong indexes. That is, the *weighted mixed index*  $W - MI_i$  of teacher  $i$  would be:

453 
$$W - MI_i = \mu W - WI_i + (1 - \mu) W - SI_i. \quad (14)$$

454 **4.2. Aspiration and Reservation Levels Used**

455 For the normalization of the variable values carried out in (5) and (6) and for the building  
 456 process, key parameters are the aspiration and reservation levels. Let us recall that they  
 457 represent, respectively, a desirable level to be achieved by each variable and a level below  
 458 which the variable values are regarded as unwanted. These preferential levels can be set  
 459 in several ways. For example, they may be defined using absolute values, but such  
 460 universally accepted values do not exist in the literature for the input and output variables  
 461 under study in this paper. Another option may be to set appropriate values for them with  
 462 the help of a panel of experts, but some inconsistencies might arise in practice, since these  
 463 values may be set in a relative manner, i.e. considering the situation for each variable of  
 464 one or several teachers with respect to the others.

465 Here, for each variable  $v_j$ , the aspiration and reservation levels  $q_j^a$  and  $q_j^r$  have  
 466 been set based on the statistical descriptors given in Table 1, in order to set them using  
 467 realistic values obtained according to the observational data considered. To be more  
 468 precise, the values for  $q_j^a$  and  $q_j^r$  have been calculated as follows, based on the mean and  
 469 the standard deviation attained by the variable  $v_j$ , denoted as  $m_j$  and  $sd_j$ , respectively:

- 470 ● For each of the “more is better” type variables, we have considered:

471 
$$q_j^a = m_j + sd_j, \quad (15)$$

472 
$$q_j^r = m_j - sd_j.$$

- 473 ● For the “less is better” type variables, they have been set them as:

474 
$$q_j^a = m_j - sd_j, \quad (16)$$

475 
$$q_j^r = m_j + sd_j.$$

476 It should be mentioned that an outlier detection, using the interquartile range method, was  
 477 carried out, and no outliers were detected. The aspiration and reservation levels calculated  
 478 following equations (15) and (16) are provided in Table 2.

479 **Table 2** Aspiration and reservation levels used for the variables.

Variables	“More is better” or “Less is better” type	Aspiration level	Reservation level	Maximum value	Minimum value
<b>Outputs</b>					
Mean scores in					
Reading	“More”	553.42	486.33	592.29	392.96
Mathematics	“More”	525.74	452.70	581.62	347.87
Science	“More”	548.81	476.81	604.63	376.26
Engagement in:					
Reading	“More”	10.80	9.07	12.16	6.48
Mathematics	“More”	10.96	9.26	12.64	5.81
Science	“More”	10.95	9.21	12.05	6.10
<b>Inputs</b>					
Teaching minutes in:					
Reading	“Less”	233.02	441.45	780.00	120.00
Mathematics	“Less”	235.22	320.81	420.00	180.00
Science	“Less”	186.43	289.49	540.00	60.00

480 Source: Author’s own elaboration.

### 481 **4.3. Further Comments**

482 The weak, strong and mixed indexes satisfy the following properties:

483 a) *Existence and uniqueness*. The way of building three indexes assures that any  
 484 of them can be always calculated for any teacher, and the index value is unique.

485 b) *Monotony*. The weak index is strictly monotonous since it is a linear  
 486 combination of the normalized values using positive weights (the normalization is strictly  
 487 increasing): if any normalized variable value is improved (resp., worsened) and the rest  
 488 of them remain constant, the weak index reaches a higher value (resp., a lower value) than  
 489 its former value. In addition, the strong index is also monotonous because, if any  
 490 normalized value is improved (resp., worsened) and the rest remains constant, the strong

491 index equals or reaches a higher value (resp., a lower value) than its former value. As  
492 consequence, the mixed index is also strictly monotonous if  $\mu > 0$  in equation (9) since  
493 it is a linear combination of the other two. In case of using  $\mu = 0$  in (9), the mixed index  
494 would coincide with the strong index and, thus, it would be only monotonous.

495 c) *Identity*. The three indexes hold this property both for the sum operation (if we  
496 add 0 -the neutral element of the sum- to all the normalized values, the three indexes  
497 remain invariant) and for the product operation (if we multiply all normalized value by  
498 1-the neutral element of the product-, the three indexes remain invariant).

499 d) *Transitivity*. This property is verified by the three indexes: for any of them, if  
500 teacher A has a greater index value than teacher B, and in turn, teacher B achieves a  
501 greater index value than teacher C, then A has also a greater index value than C.

502 e) *Proportionality* (homogeneity of grade 1). It is trivial that, for the three indexes,  
503 if all the normalized values are multiplied by a positive constant  $k \in R$ , the new index  
504 value is its former value multiplied by  $k$ . To be more precise, this property is held by the  
505 weak index because it is defined as a linear combination of the normalized values.  
506 Furthermore, for the strong index, which is calculated as the maximum of the normalized  
507 values, it is evident that the maximum value would be the previous maximum value  
508 multiplied by  $k$  if all the normalized values are multiplied by  $k$  (note that we assume that  
509  $k$  has a positive value). And consequently, this property is also held for the mixed index,  
510 given that it is a linear combination of the weak and strong indexes.

511 In the literature, there are many methodologies to build synthetic indexes (see  
512 Nardo et al. (2005)). As stated there, the quality of a composite indicator depends not  
513 only on the building methodology used but also on the quality of the data used. We do  
514 not question the benefits of the different procedures available in the literature, but we  
515 have selected the DRP approach for building our synthetic indexes because it enables us  
516 to assess the teachers' efficiency using desirable scenarios for the variables considered,  
517 i.e. we can introduce preferential information into the efficiency scores. Thus, the  
518 resulting indexes can provide us information about how to distribute the available  
519 resources to improve key factors of the teaching-learning context that are desirable to let  
520 teachers achieve efficiency, according to the specified preferences.

521 Obviously, other methods for building the indexes would offer other advantages  
522 and would lead to different conclusions. For example, so-called "benefit of the doubt"  
523 (BOD) approaches (Cherchye et al., 2007) are DEA-based methodologies estimating  
524 weights for the indexes' calculation which do consider the relative contribution of each

525 variable or dimension under study to the index calculation. Specifically, based on the  
526 observational data, weights are assigned to each unit in a way to evaluate its composite  
527 measure, subject to a set of specified constraints. It has the advantage that higher weights  
528 are assigned to the dimensions on which the unit performs well, and lower weights to  
529 those on which it performs bad. However, the weights obtained are unit specific (i.e.  
530 weights are not uniquely determined for all the units) and it is likely that many units are  
531 classified as efficient (Nardo et al., 2005).

532 In Despotis (2005), a “fair” assessment of the Human Development Index (HDI),  
533 a composite index of socio-economic indicators, was proposed also based on the DEA  
534 methodology. Its main purpose is the calculation of common weights for the socio-  
535 economic sub-indicators composing the HDI according to the observational data, in a  
536 manner that the resulting efficiency (global) scores are as close as possible to the ideal  
537 ones. For the calculation of such common weights, an optimization problem (named as  
538 problem (2) in Despotis (2005)) is solved based on a linear combination of the  $L_1$  and the  
539  $L_\infty$  metrics. Somehow, this linear combination may be seen similar to the one used to  
540 calculate our mixed synthetic index. Nevertheless, we combine the maximum (strong  
541 index) and the mean (weak index) of the normalized variable values, while in (Despotis,  
542 2005), problem (2) considers a linearly combination of the maximum and the mean  
543 deviations between DEA scores obtained in model (1) of (Despotis, 2005) and the  
544 adjusted global efficiency scores.

545 Overall, observe that the main contribution of (Despotis, 2005) is the calculation  
546 of common weights for the socio-economic sub-indicators composing the HDI, while our  
547 main contribution is not relative to the weighting scheme, but to the incorporation of  
548 preferential information into the efficiency evaluation of teachers. To this aim, as  
549 explained, we perform a normalization of the input and output variable values based on  
550 aspiration and reservation levels used for the variables, which constitutes the main  
551 difference with the index building scheme followed in (Despotis, 2005). As  
552 aforementioned, these aspiration and reservation levels are set according to the  
553 observational data in our sample. This allows us to know if the teachers are performing  
554 better, within or worse than the desired limits, and gives us the opportunity to assess the  
555 teachers’ efficiency using desirable scenarios.

556 **5. Results and Discussion**

557 In this section, the results obtained for the Spanish teachers according to the synthetic  
558 indexes described in Section 4 are discussed in Section 5.1, and the dependence of the  
559 efficiency ranking with respect to the inputs considered is analysed in Section 5.2.

560 **5.1. Calculation of the Weak, Strong and Mixed Indexes**

561 In our analysis, all the inputs and outputs have received the same importance, meaning  
562 that the same weights have been assigned to all the variables in the index's calculation.  
563 Therefore, we have obtained the weak ( $WI_i$ ), strong ( $SI_i$ ) and mixed ( $MI_i$ ) indexes  
564 following equations (7), (8) and (9), respectively. To study the teachers' efficiency using  
565 a balanced compensation degree between the full compensation represented by the weak  
566 index and the zero-compensation implied by the strong index, we have considered  $\mu =$   
567 0.5 to calculate the mixed index.

568 The three indexes values attained by the Spanish teachers according to our sample  
569 are given in Tables 3 and 4, which show the teachers in the first (Q1) and the fourth  
570 quartile (Q4), respectively, when they are ranked in descending order according to the  
571 mixed synthetic index. Due to the space limit, only teachers in these two quartiles are  
572 provided, although the values of the synthetic indexes for the rest of teachers are available  
573 upon request. Note that we have decided to study the teachers ranked according to the  
574 mixed index since it is a combination of the weak and strong indexes.

575 The information provided in Tables 3 and 4 allows us to have a broad  
576 understanding of the most efficient teachers and the least ones (according to the mixed  
577 index) and to identify key variables. The ranking position of each teacher regarding each  
578 index is indicated in the three first columns (the mixed index's position is in boldface to  
579 highlight the order considered to rank the teachers). In addition to the values reached by  
580 the three indexes and the normalized variable values –calculated following expressions  
581 (5) and (6)–, their means and their standard deviations are also reported in the first two  
582 rows. Actually, normalized variable values above 1 are colored in green, while those  
583 between 0 and 1 are in yellow, and the ones lower than 0 are in red. In this way, for each  
584 variable, we can easily visualise at a glance teachers performing better than the aspiration  
585 level (in green), between the reservation and aspiration levels (in yellow), or worse than

586 the reservation level (in red). Finally, the worst variable value of each teacher (which  
587 corresponds to the strong index) is highlighted with a bold red face boxed.

588         Next, let us revise the results in detail. The green (values above the aspiration  
589 levels) and the yellow (values among the aspiration and the reservation levels) colours of  
590 the teachers at the highest positions (see Table 3) inform us that the most efficient teachers  
591 attain balanced levels for all the variables, mostly above or close to the most desirable  
592 situation. This is a reasonable performance of the teachers in the higher rank positions.  
593 On average, these teachers achieve values for the mixed index around 0.603, around  
594 0.383 for the strong index, and around 0.823 for the weak index (note that  $0.383 \leq$   
595  $0.603 \leq 0.823$ ). The positive value attained by the mean of the strong index indicates  
596 that the worst variable performance of the most efficient teachers is still within the  
597 desirable limits, on average.

598         Observe that, in general terms, the teachers in the highest positions got values  
599 above the aspiration levels (in green) mainly for the scores and the engagement indexes,  
600 except for some cases (such as the teacher in the first position, which is further described  
601 hereafter). This fact states the key role of these variables for the efficiency of the teachers,  
602 as expected. However, to reach the most efficient positions, the minutes spent on teaching  
603 in class are also above or within the desirable limits, thus these variables result also  
604 determinant in the ranking. Actually, the means of all the variables are above 0.5 (the mid  
605 value of the desirable interval), most of them even above 0.8. This implies that, on  
606 average, teachers with acceptable values, near the aspiration levels, occupy the highest  
607 positions of the ranking for the means scores, the engagement and the teaching minutes  
608 for the three subjects. However, note that the best-averaged values correspond to the  
609 scores in mathematics and science, and the worst ones to the teaching minutes in science.

610         Regarding the profile of the most efficient teacher in Table 3, it is noteworthy that  
611 the teaching minutes invested in reading and maths have been crucial to be ranked in the  
612 first position, given that these two variables get the highest possible values (which is 2).  
613 Besides, the outstanding performance of this teacher has been also possible because the  
614 other variables are within their desirable intervals, but very close to 1, meaning that they  
615 are very close to their corresponding aspiration levels. Thus, the combination of all these  
616 factors becomes crucial for reaching the most efficient position. Particularly interesting  
617 is the fact that this teacher also gets the first position for the strong index, and it is the

618 second most efficient one regarding the weak index. This implies that, in addition, (s)he  
619 is the most efficient if we seek for the worst variable performance (no compensation), and  
620 the second most efficient when a full compensation among the variables is used. Finally,  
621 note that the second most efficient teacher in Table 3 obtains this position due to the fact  
622 that, (s)he gets values above the aspiration level for the variables for which the most  
623 efficient teacher performs within the limits but not above the aspiration levels. This  
624 reveals once more that having a balanced performance among all the inputs and outputs  
625 is decisive, and therefore actions aimed at promoting all of them as a whole, would be  
626 beneficial when searching for efficiency in teachers.

627 It is very important to remind that a synthetic index combines individual  
628 indicators, in order to obtain a global aggregated measure that cannot be quantified by  
629 each of the indicators considered. However, the aggregation into a single synthetic index  
630 always implies a certain loss of information along the way, and the type of compensation  
631 used is crucial to understand the results. A total compensation of the individual indicators  
632 (as in the weak index) implies that poor results of some indicators can be compensated  
633 with good ones of some others. A zero-compensation scheme can be applied to reflect the  
634 worst performance (as in the strong index). However, we consider the mixed index to  
635 order the teachers and a partial compensation between the total and zero schemes is used.  
636 That is why being the best in the three inputs (teaching times) makes teacher 1 in Table 3  
637 to be the most efficient (actually, this teacher gets the best values in all the sample for the  
638 three inputs), although teacher 2 is the best one in all the outputs (scores and  
639 engagements). However, the output values of teacher 2 are close to their aspiration levels,  
640 but not as close as the input values of teacher 1 are from their corresponding aspiration  
641 values. This explains the ranking obtained, based on the partial compensation used.

642 Regarding the teachers in Table 4 (these teachers in Q4 according to the mixed  
643 index), we can see that the number of cells coloured in red (corresponding to negative  
644 normalized variable values) is quite significant, while the number of these in green colour  
645 decreases, as it may be expected. This indicates a poor performance, under their  
646 reservation levels, in a higher number of variables. Indeed, the averaged values achieved  
647 by the strong and the mixed indexes lead us to the same conclusion, since they have  
648 negative mean values ( $-0.514$  and  $-0.139$ , respectively). The full compensation  
649 internally performed in the mixed index is the reason explaining the positive mean value

650 reached by this indicator (0.235). In relation to the variables, despite reaching averaged  
651 values above 0 (i.e. between the desirable ranges defined by the aspiration and reservation  
652 levels), their mean values tend to be below 0.5 –the mid value of the desirable interval.  
653 However, the teaching minutes in science attains the highest mean value among the least  
654 efficient teachers, while the scores in maths and science are the variables with the lowest  
655 average performances. Remember that exactly the same variables reported, respectively,  
656 the worst and the best mean values among the most efficient teachers. With this, a  
657 conflicting relation among the variables is shown, concluding that teaching for more  
658 (resp. less) time in science does not necessarily imply an increase (resp. a decrease) of  
659 the scores in maths and science, making even the teacher to be less (resp. more) efficient  
660 than in the other way around, as shown by the results obtained.

661           The least efficient teacher, ranked in the last position in Table 4, attains the worst  
662 values for the scores in the three subjects (since their normalized values are  $-1$ ), thus  
663 making its strong index to reach the lowest possible value ( $-1$ ). The same situation is  
664 observed for the teacher in the previous position regarding the three engagement levels,  
665 but the performance of this teacher is a bit better for the rest of variables.

666           To have a more precise view of the results, we indicate the descriptive statistics  
667 of the variables at the top and bottom deciles and quartiles of the teacher’s distribution  
668 ranked according to their mixed index in Tables 5 and 6, using their original values and  
669 their normalized values, respectively. The mean scores and engagement indexes reached  
670 by the students of those teachers ranked within the top decile/quartile –in the three  
671 subjects–, are clearly above the figures found for those teachers at the bottom of the  
672 efficiency distribution, which is logical since these variables are outputs (of the “more is  
673 better” type). A similar situation is detected regarding the teaching times for the three  
674 subjects: the teachers at the bottom of the ranking spend significantly higher times in the  
675 lessons than those at the most efficient positions (as these variables are inputs, “less is  
676 better” if we revise their original values shown in Table 5). Besides, it is interesting to  
677 see that the minutes’ difference is significantly high for reading but, at the same time,  
678 quite low for the science competence. All of this is in line with the findings observed  
679 when analysing Tables 3 and 4. This conclusion must have important implications in  
680 terms of educational policies, given that revising and improving the reading skills for  
681 teaching can lead to significant advances regarding the learning achievement of students.



**Table 3** Weak ( $WI_i$ ), strong ( $SI_i$ ) and mixed ( $MI_i$ ) indexes and normalized variable values for the Spanish teachers in the first quartile (Q1).

Ranking			Index			Mean scores			Engagement			Teaching Minutes		
$WI_i$	$SI_i$	$MI_i$	$WI_i$	$SI_i$	$MI_i$	Reading	Math	Science	Reading	Math	Science	Reading	Math	Science
<b>Mean</b>			<b>0,823</b>	<b>0,383</b>	<b>0,603</b>	<b>0,850</b>	<b>0,896</b>	<b>0,882</b>	<b>0,856</b>	<b>0,831</b>	<b>0,866</b>	<b>0,775</b>	<b>0,816</b>	<b>0,638</b>
<b>Stand. Desv.</b>			<b>0,149</b>	<b>0,137</b>	<b>0,112</b>	<b>0,346</b>	<b>0,402</b>	<b>0,391</b>	<b>0,276</b>	<b>0,291</b>	<b>0,332</b>	<b>0,336</b>	<b>0,429</b>	<b>0,273</b>
2	1	<b>1</b>	1,193	0,804	0,998	0,919	0,978	0,858	0,804	0,915	1,210	2,000	2,000	1,051
1	14	<b>2</b>	1,239	0,477	0,858	1,633	1,813	1,729	1,361	1,201	0,969	0,679	0,477	1,288
15	3	<b>3</b>	0,903	0,594	0,749	0,886	0,995	1,239	1,059	1,014	1,110	0,607	0,594	0,626
4	5	<b>4</b>	1,004	0,480	0,742	0,881	1,140	0,978	0,953	1,313	1,380	0,966	0,944	0,480
24	2	<b>5</b>	0,842	0,626	0,734	0,939	0,982	0,718	0,689	0,869	0,816	0,751	1,185	0,626
13	4	<b>6</b>	0,908	0,542	0,725	0,713	0,550	0,542	0,979	0,882	0,711	1,292	1,638	0,868
7	5	<b>7</b>	0,942	0,480	0,711	0,898	1,091	1,417	0,833	1,169	1,110	0,535	0,944	0,480
9	5	<b>8</b>	0,932	0,480	0,706	0,954	1,105	0,725	1,214	1,120	0,883	0,966	0,944	0,480
11	5	<b>9</b>	0,917	0,480	0,698	0,750	1,186	1,247	1,334	0,865	0,910	0,535	0,944	0,480
19	5	<b>10</b>	0,885	0,480	0,682	0,711	0,563	0,608	0,883	1,323	1,482	0,966	0,944	0,480
20	5	<b>11</b>	0,879	0,480	0,679	1,100	0,876	1,076	0,786	0,896	1,071	0,679	0,944	0,480
18	17	<b>12</b>	0,890	0,440	0,665	1,066	1,126	1,073	1,207	1,139	0,440	0,535	0,944	0,480
23	5	<b>13</b>	0,843	0,480	0,661	1,022	0,755	0,946	1,203	0,664	0,724	0,607	1,185	0,480
12	21	<b>14</b>	0,909	0,413	0,661	0,611	0,429	0,413	1,139	0,906	0,883	1,292	1,638	0,868
3	33	<b>15</b>	1,046	0,243	0,645	1,614	1,455	1,460	1,546	1,028	0,913	0,679	0,243	0,480
27	5	<b>16</b>	0,795	0,480	0,638	0,884	0,964	1,048	0,810	0,598	0,750	0,679	0,944	0,480
25	16	<b>17</b>	0,805	0,469	0,637	0,760	1,188	0,974	0,882	0,869	0,469	0,679	0,944	0,480
30	5	<b>18</b>	0,776	0,480	0,628	0,710	0,549	0,671	0,844	0,962	0,855	0,966	0,944	0,480
32	15	<b>19</b>	0,764	0,475	0,619	0,684	0,662	0,611	0,720	0,657	0,475	1,115	1,276	0,674
21	28	<b>20</b>	0,875	0,344	0,610	0,574	0,344	0,453	0,918	1,132	1,136	1,204	1,638	0,480
5	61	<b>21</b>	0,966	0,183	0,575	0,793	0,964	0,183	1,115	1,526	2,000	0,823	0,243	1,051
14	33	<b>22</b>	0,905	0,243	0,574	1,067	1,294	1,120	0,908	0,739	1,047	0,679	0,243	1,051

Source: Author's own elaboration.

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Table 3 (Continued).

Ranking			Index			Mean scores			Engagement			Teaching Minutes		
$WI_i$	$SI_i$	$MI_i$	$WI_i$	$SI_i$	$MI_i$	Reading	Math	Science	Reading	Math	Science	Reading	Math	Science
16	33	<b>23</b>	0,902	0,243	0,573	1,356	1,208	1,295	0,920	0,792	1,144	0,679	0,243	0,480
17	33	<b>24</b>	0,891	0,243	0,567	1,385	1,715	1,708	0,481	0,822	0,438	0,751	0,243	0,480
8	57	<b>25</b>	0,937	0,189	0,563	1,093	1,430	1,522	0,723	0,937	0,914	0,679	0,944	0,189
10	57	<b>26</b>	0,924	0,189	0,557	1,224	1,404	1,454	1,117	1,193	1,100	0,391	0,243	0,189
37	23	<b>27</b>	0,719	0,391	0,555	0,812	0,764	0,796	0,766	0,424	0,527	0,391	0,944	1,051
54	18	<b>28</b>	0,660	0,440	0,550	0,750	0,482	0,597	0,440	0,528	0,751	0,966	0,944	0,480
38	27	<b>29</b>	0,717	0,381	0,549	0,381	0,456	0,501	0,779	0,524	0,484	1,336	0,944	1,051
6	64	<b>30</b>	0,952	0,141	0,546	1,377	1,658	1,404	1,282	0,975	0,975	0,223	0,535	0,141
57	19	<b>31</b>	0,649	0,439	0,544	0,466	0,473	0,468	0,751	0,989	1,198	0,439	0,477	0,577
46	26	<b>32</b>	0,686	0,385	0,535	0,564	0,714	0,851	1,018	0,535	0,385	0,679	0,944	0,480
55	25	<b>33</b>	0,651	0,387	0,519	0,387	0,487	0,507	0,723	0,748	0,619	0,966	0,944	0,480
66	22	<b>34</b>	0,617	0,396	0,506	0,662	0,543	0,458	0,396	0,584	0,514	0,679	0,944	0,771
65	23	<b>35</b>	0,618	0,391	0,504	0,609	0,707	0,606	0,514	0,590	0,722	0,391	0,944	0,480
86	20	<b>36</b>	0,555	0,435	0,495	0,482	0,501	0,562	0,764	0,639	0,435	0,535	0,594	0,480
53	30	<b>37</b>	0,661	0,316	0,489	0,463	0,316	0,411	0,582	0,496	0,613	1,071	0,944	1,051
36	33	<b>38</b>	0,728	0,243	0,485	0,866	0,867	0,920	0,485	0,654	0,785	0,679	0,243	1,051
58	29	<b>39</b>	0,644	0,317	0,481	0,427	0,354	0,383	0,794	0,317	0,506	1,204	0,944	0,868
43	33	<b>40</b>	0,693	0,243	0,468	1,469	1,172	1,293	0,291	0,377	0,517	0,391	0,243	0,480
34	60	<b>41</b>	0,752	0,184	0,468	0,479	1,463	0,768	0,865	0,184	0,921	0,751	0,418	0,917
45	33	<b>42</b>	0,686	0,243	0,464	0,402	0,720	0,842	0,609	0,986	0,856	0,463	0,243	1,051
44	53	<b>43</b>	0,688	0,239	0,464	0,239	0,271	0,367	0,484	1,015	1,426	0,966	0,944	0,480
47	33	<b>44</b>	0,681	0,243	0,462	1,358	0,720	0,994	0,691	0,466	0,929	0,247	0,243	0,480

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Source: Author's own elaboration.

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**Table 4** Weak ( $WI_i$ ), strong ( $SI_i$ ) and mixed ( $MI_i$ ) indexes and normalized variable values for the Spanish teachers in the fourth quartile (Q4).

Ranking			Index			Mean scores			Engagement			Teaching Minutes		
$WI_i$	$SI_i$	$MI_i$	$WI_i$	$SI_i$	$MI_i$	Reading	Math	Science	Reading	Math	Science	Reading	Math	Science
<b>Mean</b>			<b>0,235</b>	<b>-0,514</b>	<b>-0,139</b>	<b>0,207</b>	<b>0,181</b>	<b>0,181</b>	<b>0,207</b>	<b>0,219</b>	<b>0,226</b>	<b>0,264</b>	<b>0,232</b>	<b>0,395</b>
<b>Stand. Desv.</b>			<b>0,168</b>	<b>0,280</b>	<b>0,168</b>	<b>0,578</b>	<b>0,490</b>	<b>0,499</b>	<b>0,485</b>	<b>0,426</b>	<b>0,441</b>	<b>0,540</b>	<b>0,611</b>	<b>0,453</b>
162	105	<b>131</b>	0,144	-0,093	0,026	0,024	0,086	0,086	0,380	0,120	0,398	0,103	-0,093	0,189
144	123	<b>132</b>	0,253	-0,205	0,024	-0,180	-0,205	-0,009	0,130	0,227	0,358	0,535	0,944	0,480
145	122	<b>133</b>	0,243	-0,196	0,024	-0,183	-0,173	-0,196	0,201	0,158	-0,003	0,391	0,944	1,051
135	135	<b>134</b>	0,324	-0,286	0,019	-0,286	0,518	0,255	0,204	0,378	0,161	0,391	0,243	1,051
161	115	<b>135</b>	0,168	-0,139	0,015	-0,139	-0,104	-0,023	-0,074	0,223	0,516	0,391	0,243	0,480
129	138	<b>136</b>	0,367	-0,342	0,013	-0,312	-0,144	-0,342	0,657	0,902	0,727	0,391	0,944	0,480
116	141	<b>137</b>	0,417	-0,395	0,011	0,916	0,655	0,830	0,176	0,475	0,639	-0,025	-0,395	0,480
121	141	<b>138</b>	0,403	-0,395	0,004	0,734	-0,028	0,191	0,519	0,836	0,829	-0,114	-0,395	1,051
125	141	<b>139</b>	0,399	-0,395	0,002	0,091	0,309	0,327	0,679	0,797	0,626	0,679	-0,395	0,480
146	128	<b>140</b>	0,239	-0,242	-0,001	-0,242	0,029	0,132	0,275	-0,016	0,507	0,391	0,594	0,480
151	127	<b>141</b>	0,225	-0,229	-0,002	0,306	-0,229	0,061	0,292	0,392	0,611	0,391	0,243	-0,042
149	129	<b>142</b>	0,229	-0,243	-0,007	0,458	-0,243	-0,023	0,048	-0,176	0,599	0,679	0,243	0,480
156	126	<b>143</b>	0,209	-0,222	-0,007	-0,072	-0,222	-0,024	0,223	0,107	-0,042	0,487	0,944	0,480
112	155	<b>144</b>	0,429	-0,459	-0,015	1,312	1,133	1,134	-0,227	-0,313	-0,459	0,751	-0,244	0,771
85	161	<b>145</b>	0,555	-0,587	-0,016	-0,348	-0,555	-0,587	1,264	1,143	1,082	1,336	1,185	0,480
131	141	<b>146</b>	0,360	-0,395	-0,018	0,443	0,552	0,527	0,402	0,364	0,411	-0,114	-0,395	1,051
152	132	<b>147</b>	0,224	-0,278	-0,027	0,074	0,284	-0,278	0,349	-0,141	0,225	0,823	-0,093	0,771
73	162	<b>148</b>	0,584	-0,646	-0,031	1,543	1,258	1,276	0,137	0,188	0,077	-0,646	0,944	0,480
155	134	<b>149</b>	0,210	-0,282	-0,036	-0,224	-0,125	-0,084	0,320	-0,103	-0,282	0,966	0,944	0,480
136	141	<b>150</b>	0,320	-0,395	-0,038	-0,244	0,215	0,051	0,762	0,904	0,648	-0,114	-0,395	1,051
101	160	<b>151</b>	0,483	-0,561	-0,039	1,147	0,676	0,928	-0,561	-0,273	0,037	0,966	0,944	0,480
154	137	<b>152</b>	0,213	-0,310	-0,048	0,817	0,302	0,498	-0,310	-0,076	-0,071	-0,025	0,594	0,189

Source: Author's own elaboration.

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Table 4 (Continued).

Ranking			Index			Mean scores			Engagement			Teaching Minutes		
$WI_i$	$SI_i$	$MI_i$	$WI_i$	$SI_i$	$MI_i$	Reading	Math	Science	Reading	Math	Science	Reading	Math	Science
140	152	<b>153</b>	0,285	-0,416	-0,065	1,293	0,949	0,746	-0,258	-0,220	-0,416	0,391	-0,395	0,480
147	141	<b>154</b>	0,237	-0,395	-0,079	0,969	0,729	0,592	-0,213	-0,136	-0,286	0,391	-0,395	0,480
159	140	<b>155</b>	0,179	-0,355	-0,088	-0,189	0,168	-0,355	-0,065	0,332	0,035	0,966	0,243	0,480
163	154	<b>156</b>	0,142	-0,424	-0,141	-0,424	0,427	0,167	-0,350	-0,058	-0,068	0,679	0,944	-0,042
164	153	<b>157</b>	0,132	-0,420	-0,144	-0,420	-0,302	-0,216	0,645	-0,051	0,301	0,679	0,068	0,480
160	158	<b>158</b>	0,173	-0,485	-0,156	0,436	0,516	0,337	-0,321	-0,143	-0,485	0,535	-0,093	0,771
168	141	<b>159</b>	0,049	-0,395	-0,173	-0,345	-0,320	-0,355	0,856	0,850	0,480	-0,291	-0,395	-0,042
169	141	<b>160</b>	0,043	-0,395	-0,176	0,046	-0,051	-0,098	-0,142	0,089	-0,002	-0,114	-0,395	1,051
167	159	<b>161</b>	0,092	-0,507	-0,207	-0,312	-0,507	-0,460	0,576	0,638	0,667	-0,202	0,243	0,189
171	141	<b>162</b>	-0,025	-0,395	-0,210	-0,186	-0,205	-0,216	0,419	0,067	-0,061	0,391	-0,395	-0,042
78	167	<b>163</b>	0,574	-1,000	-0,213	0,862	0,677	0,860	1,180	0,948	0,720	0,679	0,243	-1,000
138	165	<b>164</b>	0,315	-0,816	-0,250	-0,077	0,623	0,422	-0,816	0,276	0,122	0,823	1,276	0,189
142	166	<b>165</b>	0,266	-0,823	-0,278	0,216	0,545	0,503	0,180	0,212	0,135	-0,823	0,944	0,480
114	167	<b>166</b>	0,426	-1,000	-0,287	0,657	0,710	0,714	1,009	0,740	1,155	0,247	-1,000	-0,401
139	167	<b>167</b>	0,305	-1,000	-0,348	0,671	0,793	0,641	-0,008	0,363	0,361	0,679	0,243	-1,000
173	163	<b>168</b>	-0,075	-0,682	-0,378	-0,682	-0,647	-0,666	0,134	0,013	-0,152	0,607	0,243	0,480
170	164	<b>169</b>	0,006	-0,793	-0,394	-0,276	-0,135	-0,219	-0,301	-0,208	-0,232	-0,793	1,638	0,577
158	167	<b>170</b>	0,181	-1,000	-0,409	0,418	-0,004	0,149	0,868	0,542	0,459	-1,000	0,243	-0,042
165	167	<b>171</b>	0,113	-1,000	-0,444	0,350	-0,101	0,044	0,389	0,406	0,725	-1,000	0,243	-0,042
166	167	<b>172</b>	0,110	-1,000	-0,445	0,698	0,670	0,711	-0,151	-0,372	-0,152	0,103	-1,000	0,480
172	167	<b>173</b>	-0,028	-1,000	-0,514	0,761	0,431	0,923	-1,000	-1,000	-1,000	0,247	-0,093	0,480
174	167	<b>174</b>	-0,174	-1,000	-0,587	-1,000	-1,000	-1,000	0,615	0,243	0,058	-0,202	0,243	0,480

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Source: Author's own elaboration.

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695 **Table 5** Descriptive statistics of teachers in the first decile (D1), first quartile (Q1), tenth decile (D10), and the fourth quartile (Q4), ordered by the mixed  
 696 index, using the original variable values.

		Mean scores			Engagement			Teaching Minutes		
		Reading	Math	Science	Reading	Math	Science	Reading	Math	Science
<b>D1</b>	<b>Mean</b>	548,43	524,46	546,84	10,82	10,93	10,78	273,24	237,65	224,71
	<b>Stand. Dev.</b>	13,35	20,76	21,42	0,35	0,34	0,40	56,57	27,92	25,87
<b>Q1</b>	<b>Mean</b>	540,36	516,29	538,19	10,54	10,68	10,66	282,91	252,33	223,02
	<b>Stand. Dev.</b>	19,12	26,55	25,66	0,45	0,49	0,49	56,62	31,76	29,02
<b>Q4</b>	<b>Mean</b>	495,71	461,93	486,33	9,33	9,50	9,48	403,30	303,75	257,50
	<b>Stand. Dev.</b>	41,53	40,85	40,49	0,98	0,96	0,97	142,28	53,57	73,64
<b>D10</b>	<b>Mean</b>	488,28	453,79	479,98	9,33	9,43	9,34	466,94	314,17	292,78
	<b>Stand. Dev.</b>	41,95	46,11	45,92	1,23	1,14	1,13	169,65	56,77	98,08

697 Source: Author's own elaboration.

698  
 699 **Table 6** Descriptive statistics of teachers in the first decile (D1), first quartile (Q1), tenth decile (D10), and the fourth quartile (Q4), ordered by the mixed  
 700 index, using the normalized variable values.

		Mean scores			Engagement			Teaching Minutes		
		Reading	Math	Science	Reading	Math	Science	Reading	Math	Science
<b>D1</b>	<b>Mean</b>	0,96	1,01	1,00	1,04	0,99	0,93	0,85	1,03	0,62
	<b>Stand. Dev.</b>	0,27	0,32	0,34	0,24	0,20	0,27	0,37	0,41	0,24
<b>Q1</b>	<b>Mean</b>	0,84	0,90	0,88	0,86	0,84	0,86	0,79	0,83	0,64
	<b>Stand. Dev.</b>	0,34	0,41	0,40	0,28	0,29	0,34	0,33	0,43	0,27
<b>Q4</b>	<b>Mean</b>	0,21	0,18	0,18	0,21	0,22	0,23	0,26	0,23	0,40
	<b>Stand. Dev.</b>	0,58	0,49	0,50	0,49	0,43	0,44	0,54	0,61	0,45
<b>D10</b>	<b>Mean</b>	0,10	0,09	0,12	0,23	0,20	0,17	0,03	0,13	0,17
	<b>Stand. Dev.</b>	0,53	0,52	0,54	0,59	0,46	0,49	0,60	0,66	0,53

701 Source: Author's own elaboration.

702 **5.2. Robustness analysis**

703 A robustness check of the results obtained has been carried out, in order to know the  
704 impact on the teachers' efficiency ranking when any of the parameters involved in the  
705 synthetic indexes' calculation is varied.

706 **5.2.1. Changing the Weights**

707 In Section 5.1, we analysed the ranking when all the inputs and outputs were equally  
708 weighted. The weights assigned to the variables play a crucial role in the calculation of  
709 the synthetic indexes, and the efficiency of a teacher may be affected if we give more  
710 importance to some of the variables than to the rest. In this case, the normalized values  
711 of the highest weighted variables count more for the calculation of the (weighted) weak,  
712 strong and mixed indexes, as shown in equations (10), (13) and (14), respectively.  
713 However, a change in the weights does not alter the normalized values obtained by the  
714 input and output variables.

715 Let us study what happens if we vary the weights assigned to the inputs and  
716 outputs (in Table 1) to study the following scenarios:

- 717 • Giving more importance to the scores in the three subjects than to the other  
718 variables, and equal importance to the engagements and to the teaching times. That is:
- 719 • Giving more importance to the engagements in the three subjects than to the  
720 other variables, and equal importance to the scores and to the teaching times.
- 721 • Giving more importance to the teaching times in the three subjects than to the  
722 other variables, and equal importance to the scores and to the engagements.

723 The weights  $\omega_j$  given to the variables  $v_j$  (with  $j = 1, \dots, N_V$ ) to represent these  
724 three scenarios can be depicted in Table 7. As previously explained in Section 4.1, when  
725 different weights are given to the variables, the (weighted) weak, strong and mixed  
726 indexes are obtained using equations (10), (13) and (14).

727

728

729

730 **Table 7** Different weights used for the variables.

Variables		More importance to the Scores	More importance to the Engagements	More importance to the Teaching Times
<b>Outputs</b>				
Mean scores in:				
Reading	$\omega_1$	2	1	1
Mathematics	$\omega_2$	2	1	1
Science	$\omega_3$	2	1	1
Engagement in:				
Reading	$\omega_4$	1	2	1
Mathematics	$\omega_5$	1	2	1
Science	$\omega_6$	1	2	1
<b>Inputs</b>				
Teaching minutes in:				
Reading	$\omega_7$	1	1	2
Mathematics	$\omega_8$	1	1	2
Science	$\omega_9$	1	1	2

731 Source: Author's own elaboration.

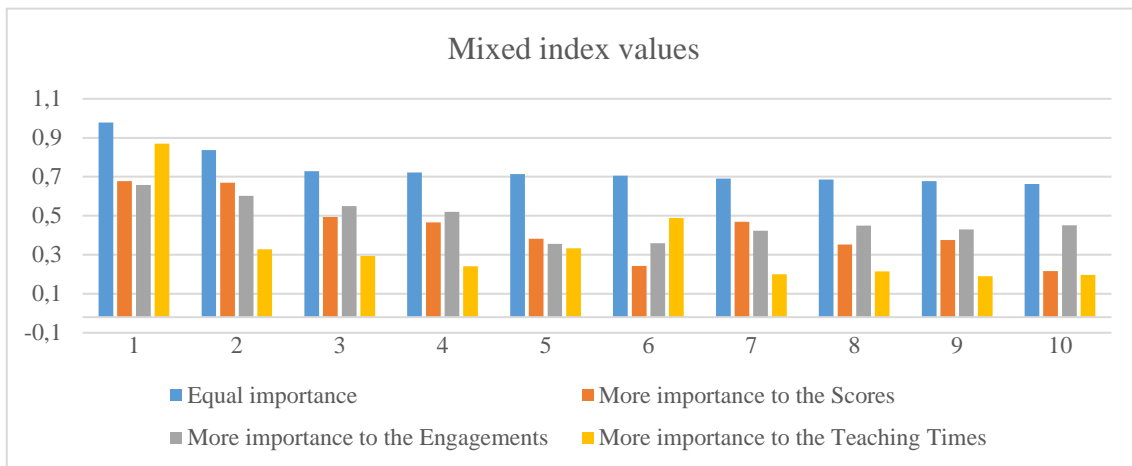
732 To save space, we just show the mixed index values achieved in the three  
 733 scenarios. The rest of the results are available upon request to the authors. To compare  
 734 the new results against the ones in Section 5.1, in Figure 1, we have represented the mixed  
 735 index values achieved at the four scenarios by the 10 most efficient teachers identified in  
 736 Section 5.1, and we show their positions in the ranking produced at each scenario in Table  
 737 8. By “*Equal importance*” we refer to the results previously described in Section 5.1,  
 738 where all variables were equally weighted. Logically, there are some changes in the  
 739 values obtained and in the rankings generated. However, the teachers at the first three  
 740 positions in the ranking “*Equal importance*” (teachers 1, 2 and 3) **preserve** the same  
 741 efficiency position when giving more weights to the scores and to the engagements, which  
 742 prove the robustness of the efficiency of these teachers at least in regards to these  
 743 scenarios. However, except for teacher 1, the ranking of all teachers are altered in the last  
 744 scenario (“*More importance to the Teaching Times*”), which corroborates the role of the  
 745 instruction times for a teacher to be efficient. This happens since there are other teachers  
 746 achieving better values for these variables, making them to be ranked higher.

747 In the same way, we have also included the same information for the 10 teachers  
 748 at the bottom of the ranking in Section 5.1, which can be seen in Figure 2 and Table 9.  
 749 According to Figure 2, if different weights are used, the values of the mixed index become  
 750 much lower than when all variables are equally weighted. Indeed, the least efficient  
 751 teacher at Section 5.1 (teacher 174) is again at the last position when more importance is

752 assigned to the Scores, but (s)he is at position 170 in the scenario giving more emphasis  
 753 to the engagements and at position 166 when the teaching times are more weighted.

754 It can thus be concluded that, in the light of the results obtained, the use of  
 755 different weights for the inputs and outputs can offer different information, so the weights  
 756 must be set according to the purpose of the study to be carried out to compare the teachers  
 757 according to their efficiency.

758 **Figure 1** Weak index values at the different scenarios of the 10 most efficient Spanish teachers in  
 759 Section 5.1.



760 Source: Author's own elaboration.

761

762 **Table 8** Ranking positions according to the weak index at the different scenarios of the 10 most  
 763 efficient Spanish teachers in Section 5.1.

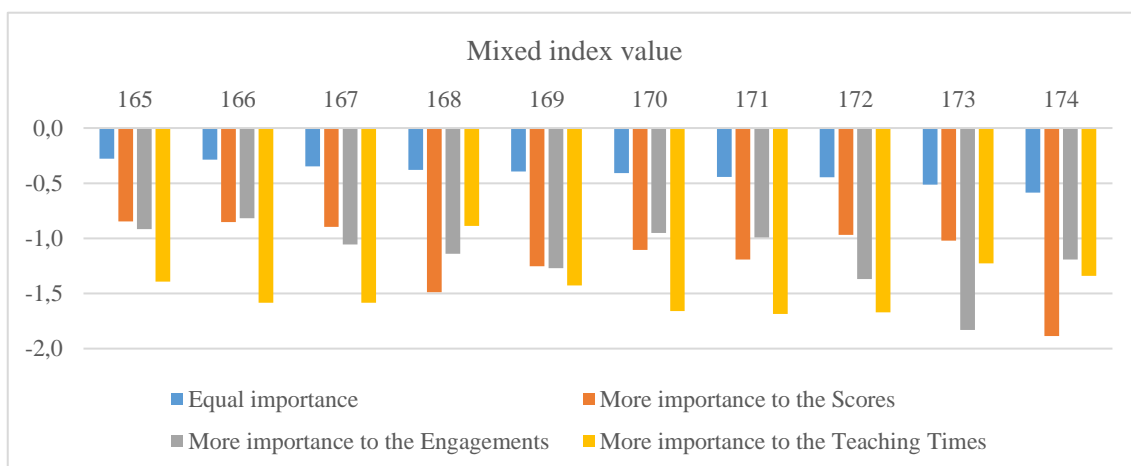
Equal importance	More importance to the Scores	More importance to the Engagements	More importance to the Teaching Times
1	1	1	1
2	2	2	5
3	3	3	7
4	5	4	10
5	10	12	4
6	21	11	2
7	4	8	13
8	12	6	12
9	11	7	15
10	24	5	14

764 Source: Author's own elaboration.

765



766 **Figure 2** Weak index values at the different scenarios of the 10 least efficient Spanish teachers in  
 767 Section 5.1.



768 Source: Author's own elaboration.

769

770 **Table 9** Ranking positions according to the weak index at the different scenarios of 10 ten least  
 771 efficient Spanish teachers in Section 5.1.

Equal importance	More importance to the Scores	More importance to the Engagements	More importance to the Teaching Times
165	150	157	167
166	152	151	170
167	157	166	171
168	173	168	149
169	172	171	168
170	168	160	172
171	170	162	174
172	160	172	173
173	163	174	165
174	174	170	166

772 Source: Author's own elaboration.

773

774

775

### 5.2.2. Changing the Aspiration and Reservation Levels

776 Logically, the results obtained highly depend on the reference levels chosen (i.e.  
 777 aspiration and reservation levels for the inputs and outputs), which in turn must be set  
 778 according to the final aim of the analysis. As described in Section 4.2, we have used  
 779 relative values to set them, which were fixed as the mean plus/minus the standard  
 780 deviation attained by each variable. The use of these statistical values implies that the  
 781 final result for each teacher indicate its situation (regarding efficiency), as compared to  
 782 the rest of the teachers in our sample. That is, the measure of efficiency is a relative one.

783 A change in these reference levels affects, on the one hand, to the normalization  
 784 of the variables and, on the second hand, to the calculation of the three synthetic indexes.

785 Let us see how the use of other reference levels would influence the results. Concretely,  
 786 let us now define the aspiration and reservation levels of each output variable as  
 787 percentiles 75 and 25, respectively (as these variables are of the type “more is better”).  
 788 For the input variables (of the “less is better” type), let us use percentile 25 as the  
 789 aspiration level and percentile 75 as the reservation level. Table 10 shows the new  
 790 reference levels considered.

791 **Table 10** New aspiration and reservation levels used for the variables.

Variables	“More is better” or “Less is better” type	Aspiration level	Reservation level	Maximum value	Minimum value
<b>Outputs</b>					
Mean scores in:					
Reading	“More”	545.44	501.56	592.29	392.96
Mathematics	“More”	511.30	467.04	581.62	347.87
Science	“More”	538.21	490.43	604.63	376.26
Engagement in:					
Reading	“More”	10.53	9.42	12.16	6.48
Mathematics	“More”	10.71	9.53	12.64	5.81
Science	“More”	10.69	9.48	12.05	6.10
<b>Inputs</b>					
Teaching minutes in:					
Reading	“Less”	285.00	360.00	780.00	120.00
Mathematics	“Less”	240.00	300.00	420.00	180.00
Science	“Less”	210.00	240.00	540.00	60.00

792 Source: Author’s own elaboration.

793  
 794 Table 11 shows the positions of the 10 most (left) and of the 10 least (right)  
 795 efficient teachers of Section 5.1 at the new ranking generated by the mixed index obtained  
 796 according to the new aspiration and reservation levels. As said, this change affects the  
 797 normalized values of the variables, which differ from the normalized values shown in  
 798 Tables 3 and 4. To save space, this information has not been included in the article, but it  
 799 is available upon request to the authors. It can be observed that, for the old and the new  
 800 reference levels, the same teachers occupy the first and second positions at the top and at  
 801 the bottom of the ranking. The rest of teachers slightly change their positions. This means  
 802 that the results described in Section 5.1 are quite stable, at least in comparison to the new  
 803 aspiration and reservation levels used.

804 **Table 11** Ranking positions with the initial and new reference levels according to the weak index  
 805 of the 10 most and 10 least efficient Spanish teachers in Section 5.1.

<i>The 10 most efficient teachers</i>		<i>The 10 least efficient teachers</i>	
<b>Initial Aspiration and Reservation Levels</b>	<b>New Aspiration and Reservation Levels</b>	<b>Initial Aspiration and Reservation Levels</b>	<b>New Aspiration and Reservation Levels</b>
1	1	165	167
2	2	166	162
3	4	167	166
4	8	168	170
5	5	169	169
6	3	170	168
7	14	171	172
8	11	172	171
9	17	173	173
10	19	174	174

806 Source: Author's own elaboration.

807 Note that there is no unique way to set these reference values. Indeed, they may  
 808 be set in an absolute manner, according to the expectations expressed by a group of  
 809 experts in the problem domain. Nevertheless, these experts should be available and  
 810 willing to be actively involved in the process, which may be very cognitively demanding  
 811 if the number of variables considered is elevated.

### 812 **5.3. Second Stage Analysis**

813 Besides the analysis of the efficiency ranking obtained with the synthetic indexes, it  
 814 would be interesting to have a deeper knowledge about the influence of the variables  
 815 which are controllable (i.e. the inputs, which are the time spent to teach each of the three  
 816 subjects according to Table 1) in this ranking. In this second stage analysis, we seek to  
 817 establish the contribution of each controllable input to achieve the highest efficiency  
 818 positions, i.e. we want to know the extent to which these variables have been decisive for  
 819 a teacher to be ranked in the best positions. This will shed some light about how to  
 820 distribute the available resources in order to promote efficiency among teachers.

821 To this aim, simple regression models have been estimated in which the weak,  
 822 strong and mixed indexes' values are regressed on the three inputs. The regression  
 823 coefficients are estimated using ordinary least square (OLS), whose idea is to minimize  
 824 the so-called statistical noise as much as possible. Let us remind that, respectively,  $v_6$ ,  $v_7$   
 825 and  $v_8$  denote the input variables for the teaching minutes dedicated to reading,

826 mathematics and science,  $x_{i6}$ ,  $x_{i7}$  and  $x_{i8}$  are the original values of these variables for the  
 827 teacher  $i$ , and  $y_{i6}$ ,  $y_{i7}$  and  $y_{i8}$  are the normalized values of these variables for the teacher  
 828  $i$ . Then, the three synthetic indexes for each teacher  $i$  can be predicted with respect to  
 829 these normalized variable values by the following three equations:

$$\begin{aligned}
 830 \quad WI_i &= \hat{\alpha}^W + \hat{\beta}_6^W y_{i6} + \hat{\beta}_7^W y_{i7} + \hat{\beta}_8^W y_{i8} + \epsilon_{Wi}, \\
 831 \quad SI_i &= \hat{\alpha}^S + \hat{\beta}_6^S y_{i6} + \hat{\beta}_7^S y_{i7} + \hat{\beta}_8^S y_{i8} + \epsilon_{Si}, \\
 832 \quad MI_i &= \hat{\alpha}^M + \hat{\beta}_6^M y_{i6} + \hat{\beta}_7^M y_{i7} + \hat{\beta}_8^M y_{i8} + \epsilon_{Mi},
 \end{aligned} \tag{17}$$

833 where, for each index,  $\hat{\beta}^W = (\hat{\beta}_6^W, \hat{\beta}_7^W, \hat{\beta}_8^W)^T$ ,  $\hat{\beta}^S = (\hat{\beta}_6^S, \hat{\beta}_7^S, \hat{\beta}_8^S)^T$  and  $\hat{\beta}^M =$   
 834  $(\hat{\beta}_6^M, \hat{\beta}_7^M, \hat{\beta}_8^M)^T$  are the vectors of regression coefficients (*slopes*),  $\hat{\alpha}^W$ ,  $\hat{\alpha}^S$  and  $\hat{\alpha}^M$  are  
 835 the estimated population intercept and  $\epsilon_{Wi}$ ,  $\epsilon_{Si}$  and  $\epsilon_{Mi}$  are the error terms. In this way,  
 836 we are assuming that each index is affected by random factors, which are inherently  
 837 unobservable and distributed normally. Table 7 shows the estimated coefficients, where  
 838 the standard deviations and the significance levels for each coefficient are also reported.

839 To interpret the estimation results obtained in the regression model, it is important  
 840 to have in mind that we are using the normalized values of the three inputs, for which  
 841 “more is better” due to the normalization, although the original values of these three  
 842 inputs are of the “less is better” type.

843 **Table 7** OLS estimates of the three index values.

Variables	Weak index ( $WI_i$ )	Strong index ( $SI_i$ )	Mixed index ( $MI_i$ )
Teaching minutes in:			
Reading	0.175*** (0.044)	0.323*** (0.059)	0.249*** (0.046)
Mathematics	0.118*** (0.038)	0.168*** (0.051)	0.143*** (0.040)
Science	0.068 (0.046)	0.207*** (0.061)	0.136*** (0.048)
Constant	0.338*** (0.035)	-0.406*** (0.047)	-0.033 (0.037)
Observations	174	174	174
R-squared	0.231	0.352	0.343

844 Source: Author’s own elaboration. OLS estimates. Standard errors  
 845 in parentheses. Dependent variable: Values of the weak, strong and  
 846 mixed indexes. Labels: \*\*\* significant at 1%, \*\* significant at 5%,  
 847 \* significant at 10%.

848 We can see that the normalized values of the three inputs (of the “more is better”  
 849 type) are positively correlated with the three synthetic indexes, although it seems that the

850 teaching time for science was not so relevant for the weak index. In addition, it can be  
851 observed that spending more time in reading is decisive in the calculation of the three  
852 indexes, given that its correlation coefficient is the highest one from three subjects, either  
853 for the weak, strong and mixed indexes. Overall, the strong index would be the furthest  
854 improved by reaching better inputs (normalized) values, given that, in the hypothetical  
855 absence of teaching times, this index would be estimated with a negative value (because  
856 its intercept is negative, as shown in Table 7). In this hypothetical case, the mixed index  
857 would experience the next highest improvement, and lately, the weak one.

858         If we interpret these findings in terms of the original values of the inputs (of the  
859 “less is better” type), our results indicate that the three indexes are negatively correlated  
860 with the three inputs, being the role of the time devoted to science the least relevant, and  
861 having the reading teaching time the most important impact. Therefore, we can conclude  
862 that, on average, when more time is spent in teaching any of the subjects, the efficiency  
863 of the teachers is not improved. This conclusion corroborates the findings previously  
864 deduced from Tables 3, 4, 5 and 6, where we found that the teaching times for the teachers  
865 at the bottom of the efficiency ranking are significantly higher than the times employed  
866 for teaching by the most efficient ones. This is in line with previous works such as e.g.  
867 Gromada and Shewbridge (2016), Lopez-Agudo and Marcenaro (2019), Luque et al.,  
868 (2020) and Lopez-Agudo and Marcenaro (2022), which stated that, in general, weekly  
869 instruction time has no effect on children’s academic achievement for Spain<sup>2</sup>.

## 870 **6. Conclusion**

871 In this paper, a set of synthetic indexes based on multi-criteria decision making techniques  
872 have been analysed to study the teachers’ efficiency. The synthetic indexes calculated  
873 enable us to use either a full compensation among all the variables (weak index), no  
874 compensation informing about the worst variable value (strong index), or a specific  
875 degree of compensation of the two formers (mixed indicator). The novelty yields in the  
876 fact that these indexes are built using desirable ranges of values to be achieved by each  
877 of the variables used, enabling us to include preferences (defined according to the  
878 observational data considered in this study) to evaluate the teachers’ efficiency. These

---

<sup>2</sup> Nevertheless, the data available do not allow measuring the quality of the weekly instruction time received by children in a precise manner for our purposes, so our results should be taken with caution in this area.

879 desirable ranges, defined by the aspiration and reservation levels, are calculated by the  
880 statistical descriptors of the indicators according to the observational data considered.

881 According to the data used (corresponding to TIMSS and PIRLS 2011 for fourth-  
882 grade Spanish teachers for reading, mathematics and science), the most efficient teachers  
883 are those with students reaching higher learning performances in the three subjects.  
884 Conversely, the times dedicated to teach are significantly lower for these teachers than  
885 the teaching minutes spent by the least efficient teachers, which supports similar  
886 conclusions stated by other studies (Gromada and Shewbridge, 2016; López-Agudo and  
887 Marcenaro, 2018; Luque et al., 2020). This leads us to the idea that a better distribution  
888 of the instruction time in class may directly imply an improvement of the academic  
889 performance of Spanish students, thus making a more efficient use of the resources, not  
890 only in terms of time but also in what concerns the monetary costs of education.

891 Increasing the instruction times (our inputs) may produce better outputs (i.e.  
892 scores and/or engagements), although there is not a direct relationship of the teaching  
893 times with the academic performance according to the literature. However, from the  
894 efficiency point of view, our findings suggest that teaching for more time, all alone, does  
895 not improve the efficiency of a teacher. It would be interesting to research in the future if  
896 an increase in the teaching time would imply the efficiency to be higher if, at the same  
897 time, other aspects of the teaching-learning context, such as e.g. the quality of the teaching  
898 or resources in the classroom, are also improved. We plan to carry out a more in-depth  
899 study of these aspects in a future research. Finally, it is convenient to point out that our  
900 findings do not categorically conclude that an increase of the instruction times negatively  
901 influences the efficiency of a teacher. In our study, our concept of efficiency must be  
902 understood from a global perspective, since it has been defined based on the optimization  
903 of both inputs and outputs at the same time. Therefore, our results cannot be interpreted  
904 from just the inputs' point of view, nor from the outputs' one. The compensation and  
905 balance among the inputs and outputs is what counts to decide the efficiency score of a  
906 teacher in our study.

907 In addition, we have performed a second stage analysis whose main purpose is to  
908 know the impact of the controllable inputs considered (i.e. times spent in reading,  
909 mathematics and science) in the efficiency ranking given by the three indexes. In line  
910 with our previous findings, this analysis has indicated that a strategy to enhance the

911 performance of the least efficient teachers is to reorganise the instruction time in class.  
912 Furthermore, at this redistribution of the lessons, our analysis has corroborated the key  
913 role of the time dedicated to reading at class. Therefore, educational policy makers should  
914 have this in mind to dictate policies and measures that aim to attain efficiency among  
915 teachers, and thus, an efficient educational system.

916 To our knowledge, to date, no one has investigated the efficiency of teachers using  
917 a discrete multi-criteria approach as the one used. The dimensional character of what has  
918 been analysed has been reflected with the double benchmark methodology and with  
919 the definition of synthetic indicators. This, together with the conclusions just mentioned,  
920 makes this work a novel contribution to the field of economics of education. A possible  
921 future research line may consist of replicating our study using absolute, and not relative,  
922 values to set the aspiration and reservation levels, as mentioned before. Their role is  
923 crucial when normalizing the variables and, thus, when obtaining the indexes and the  
924 efficiency ranking. One or a group of policy decision makers -experts in the economics  
925 of education domain- can establish them according to different purposes (i.e. they can be  
926 set using benchmarks from other countries). In this case, the synthetic indexes would give  
927 us an absolute measure of performance of each teacher, with respect to the absolute values  
928 the experts want to use.

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1072 **Ethics declarations**

1073 ***Conflict of interest***

1074 The authors declare that they have no conflict of interest.